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PTO/SB/05 (1/98) Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 0756-2095

First Inventor or Application Identifier: Shunpei YAMAZAKI

Title: ELECTRO-OPTICAL DEVICE AND METHOD FOR MANUFACTURING THE SAME

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

1. [X] Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original, and a duplicate for fee processing) 2. [X] Specification Total Pages [30]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- 3. [X] Drawing(s) (35 USC 113)
- 4. [X] Oath or Declaration

Total Sheets [10] Total Pages [2]

- a. [] Newly executed (original or copy)
- b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
 - i. [] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5. [X] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b. is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [] Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. [] Assignment Papers (cover sheet & document(s))
- 9. [] 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)
- 10. [] English Translation Document (if applicable)
- 11. [X] Information Disclosure Statement
 - [X] Copies of IDS Citations
- (IDS)/PTO-1449 12. [X] Preliminary Amendment
- 13. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14. [] *Small Entity
- [] Statement filed in prior application, Status still proper and desired
- Statement(s) (PTO/SB/09-12)
- 15. [] Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. [X] Other: Notice of Change of Address
- *A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Divisional of prior application Serial No. 08/962,600 filed October 31, 1997; which itself is a Divisional of Serial No. 08/231,644 filed April 22, 1994, now U.S. Patent 5,849,601; which itself is a Continuation of Serial No. 08/217,211 filed March 24, 1994, abandoned; which is a Continuation of Serial No. 07/811,063 filed February 20, 1991, abandoned; which is a Continuation-in-Part of Serial No. 08/293,201 filed August 19, 1994, now U.S. Patent 5,614,732; which is a Continuation of Serial No. 07/967,564 filed October 28, 1992, abandoned; which is a Continuation of Serial No. 07/673,821 filed March 21, 1991, abandoned.

Prior application information: Examiner: R. Kunemund Group/Art Unit: 1765

18. CORRESPONDENCE ADDRESS

[X] Customer Number or Bar Code Label

Customer No. 22204 (Insert Customer No. or Attach bar code label here) or [X] Correspondence address below

Name: Eric J. Robinson NIXON PEABODY, LLP Firm:

Address: 8180 Greensboro Drive, Suite 800

City: McLean Country: U.S.A.

State: VA Telephone (703) 790-9110 Zip Code: 22102 FAX (703) 883-0370

Name: Eric J. Robinson

Registration No. 38,285

Signature

Date: February 7, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.









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		Complete If Known									
FEE TRANSMITTAL			Application Number						0.10	1	
			Filing Date		February 7, 2000				7/	61	
payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.			First Named Inventor		Shunpei YAMAZAKI				=	9	
			Examiner Name		R. Kunemund					6	
			Group Art Unit		1765				<u>ا</u>)	
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued) 3. ADDITIONAL FEES Large Entity Small Entity							
The Commissioner is hereby authorized to charge indicated fees and				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee	Paid
credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON,			RGUSON, PC	105 127	130 50	205 227	65 25	Surcharge-late filing fee Surcharge-late provision fee or cover sheet			
[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of				139 147	130 2,520	139 147	130 2,520	Non-English specification For filing a request for reexamination			
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[X] Check [] Money Order [] Other				113	1,840*	113 215	1,840° 55	Requesting publication of after Examiner action			
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108 690 208	345	Reissue filing fee	[]	142	1,210	242	605	Utility issue fee (or reissu	1e)		
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	_			122	130	122	130	Petitions to the Commiss	inner	i	
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2. EXTRA CLAIM FEES		Claims Fee from Below		126	240	126	240	Submission of IDS		1	
Total Claims	<u> 26</u> -	20** = 6 X \$18.00	= \$ <u>108.00</u>	581	40	581	40	Recording each patent assignment per property	(times	1	
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SUBMITTED BY			-			Complete (if applicable)					
Typed or Printed Eric J. Robinson								Reg. Number			
Name			П	Deta	Cabarra	2.7	Deposit Account 19-2380		90		
Signature			1		Date	Date February 7,		Deposit Account	19-2300		

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	IN THE UNITED STATES PATE	NI AND TRADEMARK OFFICE				
In re I	DIVISIONAL Application of)				
Shunp	ei YAMAZAKI)				
Based	On Serial No. 08/962,600) Art Unit: 1765				
Which	Was Filed: October 31, 1997) Examiner: R. Kunemund				
For:	ELECTRO-OPTICAL DEVICE)				
	AND METHOD FOR)				
	MANUFACTURING THE SAME) Date: February 7, 2000				

NOTICE OF CHANGE OF ADDRESS

Honorable Assistant Commissioner for Patents Washington, D.C. 20231 Sir:

Effective immediately, please note that the address of the attorney(s) of record in the above-referenced application has been changed. Please direct all future correspondence to:

NIXON PEABODY, LLP 8180 Greensboro Drive, Suite 800 McLean, Virginia 22102

Telephone (703) 790-9110

Respectfully submitted,

Eric J. Robinson

Registration No. 38,285

Nixon Peabody, LLP 8180 Greensboro Drive, Suite 800 McLean, Virginia 22102 (703) 790-9110